

**The first page of your homework submission must be a cover sheet answering the following questions.** Do not leave it until the last minute; it's fine to fill out the cover sheet before you have completely finished the assignment. Assignments submitted without a cover sheet, or with a cover sheet obviously dashed off without much thought at the last minute, will not be graded.

- How many hours would you estimate that you spent on this assignment?
  
  
  
  
  
  
  
  
  
  
- Explain (in one or two sentences) one thing you learned through doing this assignment.
  
  
  
  
  
  
  
  
  
  
- What is one thing you think you need to review or study more? What do you plan to do about it?

**Question 1** (K&T 2.2, 5 points). Suppose you have algorithms with the six running times listed below. (Assume these are the *exact* number of operations performed as a function of the input size  $n$ .) Suppose you have a computer that can perform  $10^{10}$  operations per second, and you need to compute a result in at most an hour of computation. For each of the algorithms, what is the largest input size  $n$  for which you would be able to get the result within an hour? For answers smaller than  $10^{10}$ , give your answer as an exact integer; for larger answers you may provide an approximation.



1.  $n^2$
2.  $n^3$
3.  $100n^2$
4.  $n \log_2 n$
5.  $2^n$
6.  $2^{2^n}$
7.  $n!$

In addition to  $O$ ,  $\Theta$ , and  $\Omega$ , we will also sometimes use the notation  $o(g(n))$  (little-o) to mean that a function is  $O(g(n))$  but *not*  $\Theta(g(n))$ . So, if  $\lim_{n \rightarrow \infty} T(n)/g(n) = 0$ , then  $T(n)$  is  $o(g(n))$ . Intuitively, if big-O is like “less than or equal to”, little-o is like “less than”; if  $f(n)$  is  $o(g(n))$  then  $f$  “grows strictly more slowly than”  $g$ .

**Question 2** (Some asymptotic properties, 5 points).

- (a) Show that  $n^j$  is  $o(n^k)$  whenever  $j < k$ .
- (b) Prove that  $\log_a n$  is  $\Theta(\log_b n)$  for any positive integer bases  $a, b > 1$ . Conclude that we are justified in writing simply  $\Theta(\log n)$  without caring about the base of the logarithm.
- (c) Prove that  $n^k$  is  $o(b^n)$  for any positive integer  $k$  and any real number  $b > 1$ . For example,  $n^{295}$  is  $o(1.0001^n)$ . *In the long run*, any polynomial function grows more slowly than any exponential function!

*Hint:* recall, or look up, the change-of-base formula for logarithms.

*Hint:* use L'Hôpital's rule  $k$  times. Recall that the derivative of  $b^n$  with respect to  $n$  is  $b^n \ln b$ .

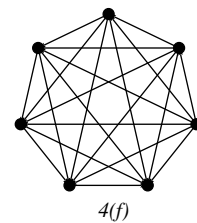
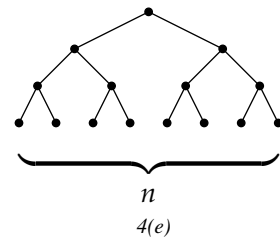
**Question 3** (K&T 2.3, 5 points). Take the following list of functions and arrange them in ascending order of asymptotic growth rate. That is, if function  $g(n)$  immediately follows function  $f(n)$  in your list, then it should be the case that  $f(n)$  is  $O(g(n))$ . Please prove/justify your claims.

1.  $f_1(n) = n^{2.5}$
2.  $f_2(n) = \sqrt{2n}$
3.  $f_3(n) = n + 10$
4.  $f_4(n) = 10^n$
5.  $f_5(n) = 100^n$
6.  $f_6(n) = n^2 \log n$

**Question 4** (15 points). Characterize the asymptotic behavior of each of the following in terms of  $n$ , using  $\Theta$ . **Give a brief justification for each answer.** For items asking for the time needed to perform some operation or solve some problem, you should describe the *worst case* running time of the *best possible* algorithm.

- (a)  $1 + 2 + 3 + 4 + \dots + n$
- (b) Average number of array lookups needed to find a given element in a sorted array of length  $n$ .
- (c) Number of two-element subsets of a set of size  $n$ .
- (d)  $1 + 2 + 4 + 8 + \dots + 2^n$
- (e) Total number of nodes in a complete binary tree with  $n$  leaves.
- (f) Number of edges in a graph with  $n$  nodes, where every node is connected to every other node.
- (g) Number of different ways to arrange  $n$  people in a line.
- (h) Average number of steps needed to find a given element in a sorted linked list of length  $n$ .
- (i) Biggest integer that can be represented with  $n$  bits.
- (j) Worst-case number of swaps needed to sort an array of length  $n$  if you are only allowed to swap adjacent elements.
- (k) Worst-case number of steps needed to check whether two lists, each containing  $n$  integers (not necessarily sorted), have any element in common.

**Please come ask for help** if you are unsure about any of these!



(l) Number of array lookups performed by this Python code:

```
for i in range(0, n):
    for j in range(0, i):
        sum += array[i][j]
```

(m) Number of addition operations performed by this Java code:

```
for (int i = 0; i < n; i += 3) {
    sum = sum + i;
}
```

(n) Total number of calls to `println` performed by this Java code:

```
for (int i = 1; i < n; i *= 2) {
    for (j = 0; j < 20; j++) {
        System.out.println(i + j);
    }
}
```

(o) Total number of calls to `print` performed by this Python code:

```
def foo(n):
    print(n)
    if n > 0:
        foo(n-1)
        foo(n-1)
```

**Question 5** (K&T 2.8, 5 points). You're doing some stress-testing on various models of glass jars to determine the height from which they can be dropped and still not break. The setup for this experiment, on a particular type of jar, is as follows. You have a ladder with  $n$  rungs, and you want to find the highest rung from which you can drop a copy of the jar and not have it break. We call this the *highest safe rung*.

It might be natural to try binary search: drop a jar from the middle rung, see if it breaks, and then recursively try from rung  $n/4$  or  $3n/4$  depending on the outcome. But this has the drawback that you could break a lot of jars in finding the answer.

If your primary goal were to conserve jars, on the other hand, you could try the following strategy. Start by dropping a jar from the first rung, then the second rung, and so forth, climbing one higher each time until the jar breaks. In this way, you only need a single jar—at the moment it breaks, you have the correct answer—but you may have to drop it  $n$  times (rather than  $\log n$  times as in the binary search solution).

So here is the trade-off: it seems you can perform fewer drops if you're willing to break more jars. To understand better how this trade-off works at

a quantitative level, let's consider how to run this experiment given a fixed "budget" of  $k \geq 1$  jars. In other words, you have to determine the correct answer—the highest safe rung—and can use at most  $k$  jars in doing so.

- (a) Suppose you are given a budget of  $k = 2$  jars. Describe a strategy for finding the highest safe rung that requires you to drop a jar at most  $f(n)$  times, for some function  $f(n)$  that grows slower than linearly. (In other words,  $f(n)$  should be  $o(n)$ , that is,  $\lim_{n \rightarrow \infty} f(n)/n = 0$ .)
- (b) Now suppose you have a budget of  $k > 2$  jars, for some given  $k$ . Describe a strategy for finding the highest safe rung using at most  $k$  jars. If  $f_k(n)$  denotes the number of times you need to drop a jar according to your strategy, then the functions  $f_1, f_2, f_3, \dots$  should have the property that each grows asymptotically slower than the previous one:  $\lim_{n \rightarrow \infty} f_k(n)/f_{k-1}(n) = 0$  for each  $k$ .