

Amortized Analysis

Recall binary counter example:

$0000 \rightarrow$ flip 1 bit
 $0001 \rightarrow$ 2 bits
 $0010 \rightarrow$ 1 bit
 $0011 \rightarrow$ 3 bits
 $0100 \rightarrow$

n	0	1	2	3	4	5	6	7	8
$f(n) = \text{flips}$		1	2	1	3	1	2	1	4
total		1	3	4	7	8	10	11	15

$f(n) = \text{bit flips needed to increment } n-1 \rightarrow n$

$$T(n) = f(1) + f(2) + \dots + f(n).$$

Conjecture: $T(n) < 2n$.

\hookrightarrow If true, average # of bit flips per increment is $\frac{T(n)}{n} < 2$.

Hence we say incrementing a counter does $O(1)$ bit flips on average, or we say it takes $O(1)$ amortized time.

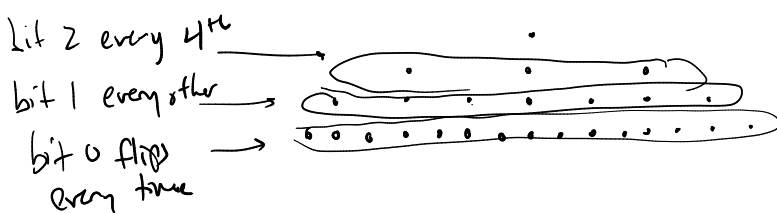
How can we prove our conjecture?

① Direct counting.

"Just" come up with an algebraic formula, show it satisfies desired inequality.

$$T(n) = 1 + 2 + 1 + 3 + 1 + 2 + 1 + 4 + \dots$$

often: change of perspective / regrouping helps!



$$T(n) = \underbrace{n}_{\text{bit 0 flips}} + \underbrace{\lfloor \frac{n}{2} \rfloor}_{\text{bit 1 flips}} + \underbrace{\lfloor \frac{n}{4} \rfloor}_{\text{bit 2 flips}} + \dots + 1.$$

$$\begin{aligned} &\leq n + \frac{n}{2} + \frac{n}{4} + \dots + 1 \\ &= n \left(1 + \frac{1}{2} + \frac{1}{4} + \dots + \frac{1}{n} \right) \\ &< n \left(1 + \frac{1}{2} + \frac{1}{4} + \dots \right) \\ &= 2n. \end{aligned}$$

1	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{8}$
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Therefore $T(n) < 2n$.

② Accounting method.

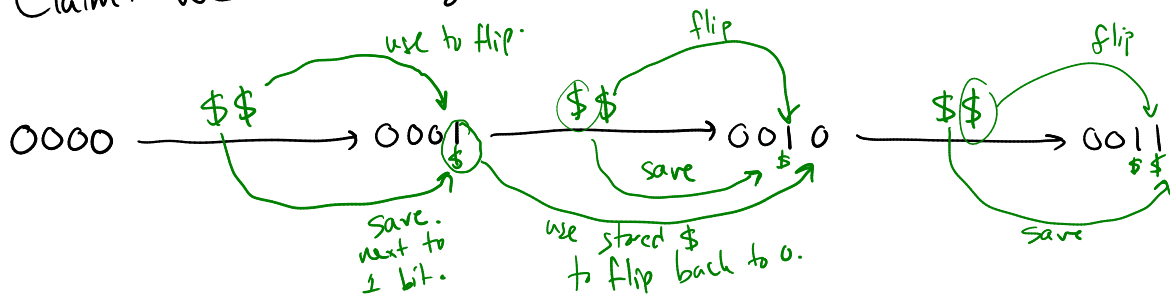
Imagine we are running a counter service. We will keep counters for customers and they can

- Ask for the current value
- Ask to increment.

It costs us \$1 to flip a bit.

How can we set price of increment so we never run out of \$\$?

Claim: we can charge \$2 per increment.



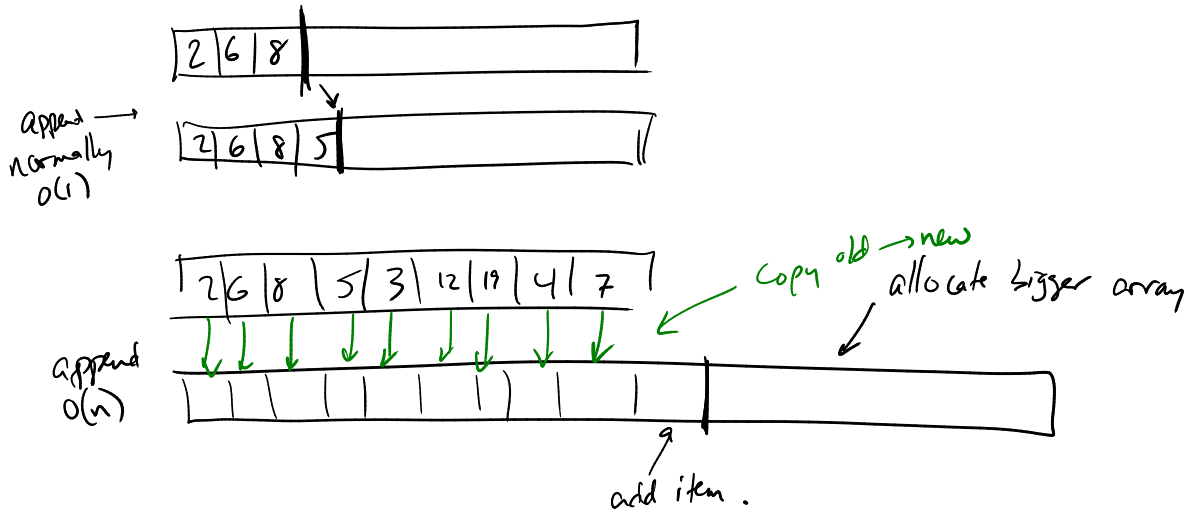
- Always keep \$ saved next to each 1 bit.
- Every increment,
 - we saved \$ to flip each $1 \rightarrow 0$
 - use 1\$ to flip single $0 \rightarrow 1$
 - save the other \$ next to the new 1.

Hence, since total paid is always \geq total cost, the average cost per increment is 2.

Example: Extensible arrays (eg. ArrayList, python lists, Vector, ...)

idea: we can (1) add stuff on the end
(2) look up by index in $O(1)$.

Allocate array w/ extra space



How long does append take on average?